



Client Account Opening Questionnaire (legal person/Entity)

Client Information	
Corporate Name	
eToro trading account name (If any)	
Form of incorporation	<input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Other (please specify) _____
Nature of business/business activities	
Countries of Business activities	
Are you authorized to perform any regulated financial service/activity?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If 'yes' to the above, please state the authorized service/activity, competent or supervisory authority. License number and country of relevant authorization	
Are you an Investment Entity in a non-participating CRS jurisdiction (notes 1 &4) managed by a Financial Institution)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If a Non-Financial Entity (NFE), please select which category	Check one of the following: <input type="checkbox"/> Active NFE – a corporation (or related to a corporation) that is publicly traded <input type="checkbox"/> Active NFE – a governmental entity, International Organisation, or Central Bank <input type="checkbox"/> Active NFE Other than above (Note 3) <input type="checkbox"/> Passive NFE – a NFE that is not an Active NFE

Registration/Incorporation details	
Registration/Incorporation number	
Registration/Incorporation date	
Registration/Incorporation country	
Name of registrar/relevant authority	
LEI (legal entity identifier) Important: You will need an LEI to trade with EU financial institutions from 3/1/2018 - see Note 2 if you do not have an LEI	



Your Investment Network

Tax Residency

Provide details for each country in which the entity is tax resident (Note 4)

Country of Tax Residence	Tax Identification Number (TIN)

Contact Details

Registered address (House/flat number, Street name)	
City	
Country	
Postcode	
Telephone	
Business address (office/flat number, Street name)	
City	
Country	
Postcode	
Telephone	
Website (if any)	
Email (if any)	
Are you part of a group?	<input type="checkbox"/> Yes <input type="checkbox"/> No

Details of Secretary (if applicable)

Full Name	
Passport number	
Permanent address (including post code and country)	



Your Investment Network

Company Financial Information	
What are the Company's total cash and liquid assets?	<input type="checkbox"/> Up to \$10K <input type="checkbox"/> \$10K - \$50K <input type="checkbox"/> \$50K - \$100K <input type="checkbox"/> \$100K - \$150K <input type="checkbox"/> \$150K - \$200K <input type="checkbox"/> \$200K - \$500K <input type="checkbox"/> \$500K - \$1M <input type="checkbox"/> \$1M - \$5M <input type="checkbox"/> Other: Please Specify _____
What is the Company's net annual income excluding any regular commitments?	<input type="checkbox"/> Up to \$10K <input type="checkbox"/> \$10K - \$50K <input type="checkbox"/> \$50K - \$100K <input type="checkbox"/> \$100K - \$100K <input type="checkbox"/> \$150K - \$200K <input type="checkbox"/> \$200K - \$500K <input type="checkbox"/> \$500K - \$1M <input type="checkbox"/> \$1M - \$5M <input type="checkbox"/> Other: Please Specify _____
Purpose of Account opening with eToro	<input type="checkbox"/> Short term returns <input type="checkbox"/> Additional revenues <input type="checkbox"/> Future planning (Save for kids education/ Retirement) <input type="checkbox"/> Saving for home <input type="checkbox"/> Other (Please specify) _____
Which risk/reward scenario best describes the Company's (and its' shareholders) expectation with respect to your annual investments with us? We expect to:	<input type="checkbox"/> gain up to \$4,000 while risking up to \$2,400 of my yearly investments <input type="checkbox"/> gain up to \$2,000 while risking up to \$1,200 of my yearly investments <input type="checkbox"/> gain up to \$1,000 while risking up to \$600 of my yearly investments <input type="checkbox"/> gain up to \$500 while risking up to \$300 of my yearly investments <input type="checkbox"/> gain up to \$250 while risking up to \$150 of my yearly investments
How much do you plan to invest in your eToro account?	
How do you expect to credit/fund your account?	<input type="checkbox"/> Bank Transfer <input type="checkbox"/> Online Money Transfer <input type="checkbox"/> Credit/Debit Card <input type="checkbox"/> Other (Please specify) _____
Please provide us with further details of the expected origin of funds (e.g. name of institution/bank/money transfer company and country of origin):	
Please provide us with details of the expected destination of outgoing transfers (e.g. name of institution/bank/money transfer company and country of origin):	



Your Investment Network

Details of Beneficial Owner / Shareholder / Controlling Person	
To be completed by all persons with ownership or voting rights in the entity exceeding 10%	
Full Name	
Position within the Company	
Passport number	
Permanent address (including post code and country)	
Country of Tax Residence	<input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____
Tax Identification Number (TIN)	<input type="checkbox"/> _____ <input type="checkbox"/> _____ <input type="checkbox"/> _____
Are you a Controlling Person of the Entity? (Note 5)	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes above, please check how: Note – if there is no controlling person by any other means, the details of the Senior Managing Official must be provided in this entire section	<input type="checkbox"/> Control by Ownership <input type="checkbox"/> Control by other means <input type="checkbox"/> Senior Managing Official <input type="checkbox"/> By Trust - Specify Nature _____ <input type="checkbox"/> By Other legal arrangement – Specify nature _____
Politically exposed persons - Please state if you hold or held, during the last twelve months, any public position(s):	
Please state if you ever been suspected or convicted from any illegal activity?	
Profession	
Authorized actions to act in the name of the Company	<input type="checkbox"/> Give instructions provided in the Terms and Conditions and all in accordance with the eToro EU (LTD) policies. <input type="checkbox"/> Deposit and Withdraw funds (only with payment methods that are registered under the account name)
What is your net annual income?	<input type="checkbox"/> Up to \$10K <input type="checkbox"/> \$10K - \$50K <input type="checkbox"/> \$50K - \$200K <input type="checkbox"/> \$200K - \$500K <input type="checkbox"/> \$500K - \$1M <input type="checkbox"/> \$1M - \$5M



Purpose of Investment	<input type="checkbox"/> Short term returns <input type="checkbox"/> Additional revenues <input type="checkbox"/> Future planning (Save for kids education/ Retirement) <input type="checkbox"/> Saving for home <input type="checkbox"/> Other (Please specify) _____
Which risk/reward scenario best describes your expectation with respect to your annual investments with us? <u>I expect to:</u>	<input type="checkbox"/> gain up to \$4,000 while risking up to \$2,400 of my yearly investments <input type="checkbox"/> gain up to \$2,000 while risking up to \$1,200 of my yearly investments <input type="checkbox"/> gain up to \$1,000 while risking up to \$600 of my yearly investments <input type="checkbox"/> gain up to \$500 while risking up to \$300 of my yearly investments <input type="checkbox"/> gain up to \$250 while risking up to \$150 of my yearly investments
Please confirm your trading experience	<input type="checkbox"/> More than 3 years <input type="checkbox"/> Between 1-3 years <input type="checkbox"/> Less than 1 year <input type="checkbox"/> Never traded

Confirmation	
To be signed by Beneficial Owner / Shareholder / Controlling Person	
<p>I hereby confirm that all information disclosed above, including any change in circumstances which affects the tax residency status is complete, true and accurate and I agree to promptly, and at least within 30, notify you of any changes in this information or if it ceases to be true and accurate.</p> <p>I acknowledge that the information contained in this form and information regarding the accounts of the Client may be provided directly or indirectly to any relevant tax authority, including the tax authorities of the country in which these accounts are maintained, and exchanged with tax authorities of another country or countries in which the Client may be resident for tax purposes.</p>	
Name	
Signature	
Date	

In the case of multiple Beneficial Owners/ Shareholders / Controlling Persons – Please reprint and complete these pages for all members.



Your Investment Network

Details of Directors and the Persons authorized to Trade	
Full Name	
Passport number	
TIN (tax identification number)	
Country of Tax Residence	
Permanent address (including post code and country)	
Politically exposed persons - Please state if you hold or held, during the last twelve months, any public position(s):	
Please state if you ever been suspected or convicted from any illegal activity?	
Profession	
Authorized actions to act in the name of the Company	<input type="checkbox"/> Give instructions provided in the Terms and Conditions and all in accordance with the eToro EU (LTD) policies. <input type="checkbox"/> Deposit and Withdraw funds (only with payment methods that are registered under the account name)
Please confirm your trading experience	<input type="checkbox"/> More than 3 years <input type="checkbox"/> Between 1-3 years <input type="checkbox"/> Less than 1 year <input type="checkbox"/> Never traded

In the case of multiple Directors / Persons Authorized to Trade– Please reprint and complete this page for each one.

Client Confirmation

To be signed by a Director or Authorized Signatory of the Client

I hereby confirm that all information disclosed above, including any change in circumstances which affects the tax residency status of the Client or Controlling Persons, is complete, true and accurate and I agree to promptly, and at least within 30, notify you of any changes in this information or if it ceases to be true and accurate.

I acknowledge that the information contained in this form and information regarding the accounts of the Client may be provided directly or indirectly to any relevant tax authority, including the tax authorities of the country in which these accounts are maintained, and exchanged with tax authorities of another country or countries in which the Client may be resident for tax purposes.

Suitability assessment for the Corporate account is carried as follows: knowledge and experience shall be that of the Director(s) and other Authorised persons with trading permissions, and the financial situation and investment objectives of the Company (including its shareholders).

Name	
Signature	
Date	

Notes

- CRS Tax Jurisdictions** – Under the direction of the Organisation of Economic Cooperation and Development (OECD), many participating jurisdictions have committed to, and are establishing, a global standard for the Automatic Exchange of Financial Information based on the Common Reporting Standard (CRS) to obtain and exchange financial information of overseas tax payers on an annual basis.

A list of participating jurisdictions can be found at <https://www.oecd.org/tax/transparency/AEOI-commitments.pdf>

More information can be found at the OECD website <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

- Legal Entity Identifier (LEI)** - From 3 January 2018 new European regulations require that all entities that trade with an EU financial institution must have obtained and provided an LEI, otherwise the financial institution is not permitted to allow that entity to trade with them.

An LEI is a code unique to a legal entity. When an LEI code is allocated to you, the code is included in a global data system. This unique may be used globally with all financial institutions with whom it interacts and enables every legal entity or structure that is a party to a relevant financial transaction to be identified in any jurisdiction.

You can obtain an LEI from bodies accredited by the Global Legal Entity Identifier Foundation (GLEIF), or bodies endorsed by the Legal Entity Identifier Regulatory Oversight Committee. A list of such bodies can be found at GLEIF website <https://www.gleif.org/en/about-lei/how-to-get-an-lei-find-lei-issuing-organizations>.

The application process is straightforward, and can be made online, for example at <https://lei.bloomberg.com/>. You will need to provide details of the entity and also parent company, if any. There is a small fee involved.

3. Active NFEs (Non-Financial Entities) are:

– a corporation (or related to a corporation) that is publicly traded, a governmental entity, (including International Organizations), or central banks

- Income and Assets: Less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.

- Holding NFEs that are Members of a Nonfinancial Group where substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.

- A Start-up Company formed in the past 24 months The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE.

- NFE Liquidating or Emerging from Bankruptcy the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution.

- Treasury Centres that are Members of a Nonfinancial Group The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution.

- Non-Profit NFEs

4. Investment Entity is an entity that primarily conducts as a business (or is managed by an entity that conducts as a business) an activity for or on behalf of customers such as trading in money market instruments, derivatives, foreign exchange, transferable securities, portfolio management and or investing funds or money on behalf of others

5. Controlling Person is the natural person who exercises control over an entity, for example if he has ownership or voting rights in the entity exceeding 10%